

3RD Quarter KDSP II Report

Introduction

Welcome to the 3rd Quarter KDSP II County Indicators survey. Please provide accurate information about your county's performance on these indicators.

County Name

Siaya

Respondent Name

Celestine Oketch

Respondent Position

Program M&E Officer

Date of Submission

2025-04-15

KRA 1

DLI 2: Participating counties that have put in place core governance arrangements to manage public funds

1. KDSP II Mid-year report

☒ Yes

☐ No

2. Existence of an approved SPMU structure

- ☐ Yes
- ☒ No

3. Existence of an operational SPMU

- ☐ Yes
- ☒ No

4. Number of Gender officers

10

5. Existence of an approved gender training program

- ☐ Yes
- ☒ No

6. Number of trained Gender officers

0

7. If trained on what, by who, and when

N/A

8. Existence of an operational CPIU (quarterly reports, activity reports, outputs of the unit)

Yes

List implemented activities under DLI 2 (start each line with a number):

Example: 1. First activity, 2. Second activity and 3. Third activity

1. Held 3rd CPTC meeting
2. Assessment by IVA on 26th February
3. Held 2 CPIU meetings to discuss the identified gaps during the assessment and PIM Dashboard
4. Attended and participated in workshops organized by SDD on the program: Climate Change and Disaster Screening Templates and Methodology Guidelines; Validation of Projects Stocktaking Guidelines, Development of the Devolution Performance Results Framework

DLI 3: Counties that have increased OSR collected by at least 5% annually over and above the rate of inflation (Number)

9. Number of revenue streams

44

10. Number of mapped revenue streams

44

11. The existence of an automated Revenue system

☒ Yes

☐ No

If yes, name the system

Integrated revenue management system

12. Proportion of Automated Revenue streams (%)

34

13. Proportion of revenue collected using automated system (%)

47

14. Existence of Updated Taxpayers register

☐ Yes

☒ No

15. Existence of Updated Cadaster

☐ Yes

☒ No

16. Updated taxpayers registers uploaded in the automated revenue management system

☐ Yes

☒ No

17. Updated cadasters uploaded in the automated revenue management system

- ☐ Yes
- ☒ No

18. Monthly revenue reporting through the county revenue system

- ☒ Yes
- ☐ No

List implemented activities in DLI 3 (start each line with a number):

Example: 1. First activity, 2. Second activity and 3. Third activity

1. Revenue register development: field visits for data collection
2. Increased automated revenue streams from 4 streams in q2 to 15 in q3

DLI 4: Counties that are implementing pending bills action plans (Number)

19. Verified pending bills report

- ☒ Yes
- ☐ No

20. Amount of pending bills (Million KES)

694.9977703

21. Verified pending bills on the county website

- ☒ Yes
- ☐ No

22. Pending bills action plan

- ☒ Yes
- ☐ No

23. Level of implementation of the pending bills action plan (%)

38

List implemented activities under DLI 4 (start each line with a number):

Example: 1. First activity, 2. Second activity and 3. Third activity

1. Appointment and operationalization of pending bills committee
2. Desk review of pending bills
3. Fields visits for sampled capital projects
4. Pending bills report writing
5. Pending bills action plan developed
6. Publicising stock of pending bills and action plan on the county website
7. Q1 and q2 pending bills payment status review report prepared and uploaded on the county website.

KRA 2

DLI 5: Counties that have integrated their HR records, authorized staff establishment and payroll, and uploaded cleaned payrolls in the UHRMIS (Number)

24. Existence of an approved organizational structure

☒ Yes☐ No

25. Existence of an approved Staff establishment

☒ Yes☐ No

26. Existence of an implementing plan for recommendations from HR and skills audit, payroll audit, organization reviews and staff establishment

26.1. Payroll

☐ Yes☒ No

If yes, when?

26.2. HR and Skills

- ☐ Yes
☒ No

If yes, when?

26.3. Organization review

- ☐ Yes
☒ No

If yes, when?

26.4. Staff establishment

- ☒ Yes
☐ No

27. Level of implementation of the implementing plan for HR, payroll audit, organization and staff establishment recommendations

27.1. Payroll (%)

27.2. HR and Skills (%)

50

27.3. Organization review (%)

0

27.4. Staff establishment (%)

50

28. Level of implementation of the SRC recommendations (%)

100

29. Updated HR records

☐ Yes

☒ No

30. Automated HRM

☒ Yes

☐ No

If yes, name the system

UHRIS-Ke

31. HR records uploaded to HRM system

☒ Yes

☐ No

32. Clean and updated payroll in the HRM system

☒ Yes

☐ No

33. Fully automated payroll system for all the staff

☐ Yes

☒ No

List implemented activities under DLI 5 (start each line with a number):

Example: 1. First activity, 2. Second activity and 3. Third activity

1. Capacity building on HR, Skills Audit, staff establishment and organisation structure.
2. On Skills Audit: Approval, data capture, data analysis is ongoing.
3. Capacity building on UHR and payroll
4. OAG Audit done awaiting report
5. Migration from IPPD to UHRS-Ke done
6. Integration on UPN done

DLI 6: Counties that are enhancing accountability for results through an integrated performance management framework (Number)

34. Signed Performance contract (PCs) for current FY

☒ Yes

☐ No

35. Level the PC is cascaded

Level 3 of directors/ county administrators

36. PCs are aligned to CIDP and ADP

☒ Yes

☐ No

37. PC process is automated

☐ Yes

☒ No

38. Quarterly Monitoring and reporting of the PC

☒ Yes

☐ No

39. Annual performance evaluation of the PC

☐ Yes

☒ No

40. Existence of a Performance Appraisal system(PAS) for all staff in current year

- ☒ Yes
☐ No

41. Staff performance targets are drawn from the PC targets and annual work plan

- ☒ Yes
☐ No

42. PAS is automated

- ☐ Yes
☒ No

43. Summit resolutions are submitted to County Assembly

- ☒ Yes
☐ No

List implemented activities under DLI 6 (start each line with a number):

Example: 1. First activity, 2. Second activity and 3. Third activity

1. FY 2024/25 PCs prepared, vetted, negotiated and signed
2. Q1 and Q2 Reports submitted and evaluated
3. Capacity building for stakeholders conducted

KRA3

DLI 7: Counties with public investment management dashboards with citizen feedback Mechanisms (Number)

44. Proportion of screened projects (%)

1

Describe the areas of assessment (climate change, environment or social)

All FLLoCA projects and Siaya Municipality projects worth more than Kshs. 4 million are screened. Assessment done on land issues, environmental concerns, social risks and bio-physical state.

45. Project stock-taking report

- ☐ Yes
- ☒ No

46. Has implementation plan on recommendations of stock-taking

- ☐ Yes
- ☒ No

47. Operational PIM framework

- ☐ Yes
- ☒ No

48. Operational Project Management Committees (PMCs)

- ☒ Yes
- ☐ No

If yes, describe the composition and up to what level

Ward Administrators as the secretary , public works officer, technical person from the implementing department, ward manager and 7 representatives from the community. PMCs are formed at project level community. The PMC is at project level.

49. Existence of county Public participation unit/departments

- ☒ Yes
- ☐ No

50. Existence of County Feedback mechanism for public participation & citizen engagement

- ☒ Yes
- ☐ No

51. Public participation and citizen engagement forums

3

The qualitative description on the forums

ADP, CFSP and finance bill public participation forums. The forums are composed of women, youths, representatives of PWDs, business community, bodaboda, transport operators, ward development committees, religious groups, local administration and the general public. During the engagements, public is allowed to give feedback on county projects and programmes.

52. Project Management System (PMS)

- ☐ Yes
- ☒ No

If yes, name the system

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53. PMS has feedback provision

- ☐ Yes
- ☐ No

54. Up-to-date PMS

- ☐ Yes
- ☐ No

List implemented activities under DLI 7 (start each line with a number):

Example: 1. First activity, 2. Second activity and 3. Third activity

1. Draft GRM Policy prepared and shared with the Office of the Ombudsman for input.
 2. PIM Dashboard concept note and ToR developed
 - 3.
-

Other Critical Indicators

55. Existence of a County Assembly Fiscal Bureau (CAFB)

- ☒ Yes
- ☐ No

56. Staff establishment for CAFB

2

.....

57. In-post staff in the CAFB

2

.....

58. Existence of a Resolution tracker(s)

- ☒ Yes
- ☐ No

If yes, describe the tracker(s)

Yes, Section 192 of the County Assembly of Siaya Standing Orders provides for the tracking mechanism as stipulated: "Within sixty days of a resolution of the House or adoption of a report of a select Committee, the relevant member of the County Executive Committee under whose portfolio the implementation of the resolution falls shall provide a report to the relevant Committee of the House in accordance with Article 183(3) of the Constitution" Further, the Committee on Implementation is

tasked with examining the implementation of the resolutions as provided for in Section 198 of the County Assembly of Siaya Standing Orders. In addition, Sectoral and Select Committees are also tasked with overseeing their respective departments

and the County Executive

- ☐ Yes
- ☒ No

60. Number of OSH trainings

0

61. Number of officers trained on OSH

0

62. Frequency of OSH training

0

63. Level of implementation of Grievance Redress Mechanism (GRM)

There is a draft GRM that has been shared with the office of Ombustman for input and approval. The final draft will be taken to public participation and thereafter final adoption.

64. M&E of Environmental and Social Management Plan

- ☐ Yes
- ☒ No

65. Number of direct jobs created by KDSP II (disaggregated by categories and duration)

0

List implemented activities under Other Critical Indicators (start each line with a number):

Example: 1. First activity, 2. Second activity and 3. Third activity

1. There is OSH officer in the national government working in Siaya. The county is forging means of working with this officer to fill in the gap.

2.

Challenges and Recommendations

The following are the challenges encountered during the quarter and a list of recommendations

List Implementation Challenges (start each line with a number):

- i. Delayed disbursement of CGS and KDSP funding resulting in extended time lines in commencing key activities in Work plan.
2. Competing priorities- all CPUI officers have other core duties
3. Execution of too many activities by the National Government within the quarter hence shifting the focus of Officers from the work plan activities

List Recommendations (start each line with a number):

1. National government to speed up release of the KDSP II Funds
2. Timely execution of workplan as approved

Remarks and Signatures

General Remarks

Most of the Work plan activities not fully implemented due to delay in the disbursement of funds. Due to this, there is need for the national government to speed up release of funds so that the work plan indicators are met.

I verify that the information provided in this report is accurate and complete to the best of my knowledge.

Respondent's Full Name

Celestine A. Oketch

Respondent's Signature (Please capture image)

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Date Signed

2025-04-15

Supervisor's Verification

Supervisor's Full Name

Peter O. Ligulu

Supervisor's Position

Program Coordinator

Supervisor's Signature (Please capture image)

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A handwritten signature in black ink, appearing to read "John Smith", is displayed within a rectangular frame.

Date Signed.....

2025-04-15